PATENT Customer No. 22.852 Attorney Docket No. 05725.0656-01000

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

n re Application of: Véronique FERRARI et al. Group Art Unit: 1615 Application No.: 09/685,577 Examiner: J. VENKAT Filed: October 11, 2000 Confirmation No.: 5696 Allowed: May 16, 2005 For: COMPOSITIONS STRUCTURED WITH AT LEAST ONE POLYMER AND METHODS OF USING THE SAME Commissioner for Patents P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

### SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(d)

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(d), Applicants bring to the attention of the Office the documents on the attached Form/SB/08. This Supplemental Information Disclosure Statement (SIDS) is being filed after the Notice of Allowance dated May 16, 2005. In compliance with the provisions of 37 C.F.R. § 1.97(d), this SIDS is accompanied by a fee of \$180 as specified by 37 C.F.R. § 1.17(p) and a certification as specified by 37 C.F.R. § 1.97(e)(2).

Based on reasonable inquiry, no document listed in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and no document listed in this Information Disclosure Statement

07/19/2005 HALI11 00000076 09685577

01 FC:1806

180.00 OP

was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing date of this Information Disclosure Statement.

Copies of the newly listed documents, excluding any U.S. Patents, U.S. Patent Application Publications, and any Office Actions for which J. VENKAT is listed as the Examiner, are attached. Applicants respectfully request that the Office consider the listed documents and indicate that they were considered by making appropriate notations on the attached form.

Further to the Notification of Pending Litigation filed on July 23, 2004, in this application, Applicants submit two additional documents from the on-going litigation *L'Oreal S.A., et al. v. Estee Lauder Companies Inc. et al.*, Civil Action No. 04-1660 (D.N.J.). The first document is Estee Lauder's Amended Answer and Counterclaims, dated April 21, 2005. The second document is a copy of Estee Lauder's Response to Estee Lauder's Response to Plaintiffs' Third Set of Interrogatories (Nos. 8-13). Both of these documents are enclosed with this Information Disclosure Statement and listed on the IDS Form PTO/SB/08.

The United States Court of Appeals for the Federal Circuit held in *Dayco*Products, Inc. v. Total Containment, Inc., 329 F.3d 1358, 66 U.S.P.Q.2d 1801 (Fed. Cir. 2003), that an "adverse decision" by another examiner may meet the materiality standard under the amended Rule 56, and thus, applicants should disclose prior rejections of "substantially similar claim[s]" to the Office. Accordingly, although Applicants are not representing that the office actions in the co-pending applications are material to the present application and are not admitting that any of the other claims are substantially similar, out of an abundance of caution, Applicants have listed the

substantive office actions filed in co-pending applications on the attached form. At the Examiner's request, Applicants have not included copies of the office actions from copending applications issued by the Examiner of this application.

### Non-English Language References

With respect to the following non-English language documents listed on the attached Form PTO/SB/08, Applicants provide the following information regarding English-language abstracts and translations:

WO 98/25922 - An abstract of this document can be found on the front of this document, as well as the English language Derwent Abstract submitted herewith. This document is believed to be related to U.S. Patent No. 6,361,764 and U.S. Application Publication No. US 2002/0010179 A1, which are listed herewith.

#### Conclusion

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Office applies any of the listed documents as prior art against any claims in the application and Applicants determine that the cited document do not constitute "prior art" under United States law, Applicants reserve the right to present to the Office the relevant facts and law regarding the appropriate status of such documents.

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT Application Serial No. 09/685,577 Attorney Docket No. 05725.0656-01000

Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER, L.L.P.

Dated: July 18, 2005

Michelle E. O'Brien Reg. No. 46,203 IDS Form PTO/SB/08: Substitute for form 1449A/PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet	1	of	2

			OIP
	Complete if Known	7	50
Application Number	09/685,577	10	101 1 8 200 3
Filing Date	October 11, 2000	B	2015
First Named Inventor	Veronique FERRARI	et	£\$!/
Art Unit	1615	70	BADELLAK
Examiner Name	J. Venkat		-OC MITTER
Attorney Docket Number	05725.0656-01000	, and the second	

	U.S. PATENTS AND PUBLISHED U.S. PATENT APPLICATIONS						
Examiner Initials	Cite No.	Document Number	Issue or Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant		
minais 140.	Number-Kind Code (if known)	MM-DD-YYYY	Applicant of Oiled Document	Figures Appear			
		4,148,875	4/10/1979	Barnett et al.			
		4,822,601	4/18/1989	Goode et al.			
	-	5,290,555	3/1/1994	Guthauser et al.			
		5,605,651	2/25/1997	Balzer			
		5,702,519	12/30/1997	Nitta et al.			
		5,993,787	11/30/1999	Sun et al.			
		6,214,326	4/10/2001	Dupuis			
		6,361,764	3/26/2002	Richard et al.			
		6,428,773 B1	8/6/2002	Oko et al.			
		6,447,759 B2	9/10/2002	Noguchi et al.			
		6,506,716	1/14/2003	Delplancke et al.			
		6,761,881 B2	7/13/2004	Bara			
		6,875,245 B2	4/5/2005	Pavlin, Mark S.			
		2002/010179	1/24/2002	Richard et al.			

FOREIGN PATENT DOCUMENTS						
Examiner Initials	Cite No.	Foreign Patent Document  Country Code Number Kind Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Translation
		WO 05/013887 A2	2/17/2005	Xlang Shao Lu et al		English
		WO 98/25922	6/18/1998	Herve Richard et al.		English Abstract

	NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	Translation	
		English language DERWENT abstract of WO 98/25922	-	
		Estee Lauder's Amended Answer and Counterclaims, dated April 21, 2005, in the ongoing litigation L'Oreal S.A., et al., v. The Estee Lauder Companies Inc., et al., Civil Action No. 04-1660 (D.N.J.)		
		Estee Lauder's Response to Plaintiff's Third Set of Interrogatories (Nos. 8-13), dated June 21, 2005, in the on-going litigation L'Oreal S.A., et al. v. The Estee Lauder Companies Inc., et al., Civil Action No. 04-1660 (D.N.J.)		

Examiner	Date	"
Signature	Considered	

IDS Form PTO/SB/08:	Substitute for form	1449A/PTO
---------------------	---------------------	-----------

Sheet

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

2

C	complete if Known		
Application Number	09/685,577	1 8 2	1003
Filing Date	October 11, 2000	12	
First Named Inventor	Veronique FERRARI et al.	137.	<u></u>
Art Unit	1615	REDEN	
Examiner Name	J. Venkat		
Attorney Docket Number	05725.0656-01000		

NON PATENT LITERATURE DOCUMENTS	
Office Action in co-pending Application No. 09/733,898 (Attorney Docket No. 05725.0808) dated April 25, 2005 (Ex. Yoon)	
Office Action in co-pending Application No. 09/733,899 (Attorney Docket No. 05725.0594) dated May 3, 2005 (Ex. Venkat)	
Office Action in co-pending Application No. 09/733,900 (Attorney Docket No. 05725.0595-00), dated June 2, 2005 (Ex. Venkat)	
Office Action in co-pending Application No. 09/749,036 (Attorney Docket No. 05725.0832-00) dated April 29, 2005 (Ex. Venkat)	
Office Action in co-pending Application No. 10/012,052 (Attorney Docket No. 05725.1005-00), dated June 3, 2005 (Ex. Venkat)	
Office Action in co-pending Application No. 10/203,254 (Attorney Docket No. 05725.0817-01) dated April 22, 2005 (Ex. Berko)	
Office Action in co-pending Application No. 10/203,375 (Attorney Docket No. 06028.0018-00), dated May 13, 2005 (Ex. Punnose)	
 Office Action in co-pending Application No. 10/312,083 (Attorney Docket No. 05715.1187) dated April 18, 2005 (Ex. Venkat)	
Office Action in co-pending Application No. 10/699,780 (Attorney Docket No. 05725.0895-02), dated June 15, 2005 (Ex. Venkat)	
Office Action in co-pending Application No. 10/746,612 (Attorney Docket No. 05725.1338-01) dated June 15, 2005 (Ex. Venkat)	
Office Action in co-pending Application No. 09/733,896, (Attorney Docket No. 05725.0806) dated July 13, 2005 (Ex. Nutter)	:
Richard J. Lewis, Sr., "Ricinoleic Acid," Hawley's Condensed Chemical Dictionary 972 (13th. 1997)	

Examiner	Date	
Signature	Considered	